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September 29, 1998

ATTACHMENT TO A PATENT APPLICATION

ENTITLED: ELEVATOR SYSTEM HAVING DRIVE MOTOR LOCATED
BELOW THE ELEVATOR CAR

INVENTOR(S): Richard J. Ericson

DOCKET NO.: 4167-11

INCLUDING: Transmittal Sheet

Specification

3 Sheets Informal Drawings (Figs. 1-3)

Declaration and Power of Attorney

Check in the amount of \$790.00

McCormick, Paulding & Huber, LLP
 City Place II
 105 Asylum Street
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Hon. Assistant Secretary and
 Commissioner of Patents
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NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Richard J. Ericson

For: ELEVATOR SYSTEM HAVING DRIVE MOTOR LOCATED BELOW THE ELEVATOR CAR

Enclosed are:

1. The papers required for filing date under 37 C.F.R. 1.53(b):
- | | |
|---------------------------------|-----------------------------|
| <u>8</u> Pages of specification | <u>3</u> Pages of claims |
| <u>1</u> Pages of abstract | <u>3</u> Sheets of drawings |
| | <u> </u> formal |
| | <u> X </u> informal |

In addition to the above pages there is also attached:

 pages of an amendment

2. Declaration or Oath

 X Enclosed

 X Copy executed by:

 X Inventor(s).

 Legal representative of inventor(s) 37 C.F.R. 1.42 or 1.43.

 Joint inventor or person showing a proprietary interest on
 behalf of inventor who refused to sign or cannot be reached.
 this is the petition required by 37 C.F.R. 1.47 and the
 statement required by 37 C.F.R. 1.47 is also attached.

 Not Enclosed

 Application is made by a person authorized under 37 C.F.R.
 1.41(c) on behalf of all the above named inventor(s). The
 declaration or oath, along with the surcharge required by
 37 C.F.R. 1.16(e) can be filed subsequently.

 Showing that the filing is authorized. Not required
 unless called into question. 37 C.F.R. 1.41(d).

3. Language

 X English

 Non-English

 A verified English translation of the
 specification and claims
 declaration is attached.

4. Assignment

 An assignment of the invention to .
 A duplicate copy of this letter is enclosed.

5. Certified Copy

 A certified copy of application(s):

Application No(s) ::

Filed on: Country:

from which priority is claimed. A certified copy of
 application(s) enclosed to follow.

New App. pg. 1 - Rev. 10/92

09/29/98
 09/16/98
 09/29/98

6. Fee Calculation -

Small Entity	Large Entity
\$ 315.00	\$ 790.00

Basic Fee

Total Claims: 15 - 20 = 0
 (Small \$11.00; Large \$22.00)

Independent Claims: 1 - 3 = 0
 (Small \$41.00; Large \$82.00)

Multiple Dependent claims: 0
 (Small \$135.00; Large \$270.00)

Total \$ 790.00

- ☐ Amendment canceling extra claims enclosed.
☐ Amendment deleting multiple dependencies enclosed.
☐ Fee for extra claims is not being paid at this time.

7. Small Entity Statement

☐ Verified statement that this is a filing by a small entity under 37 C.F.R. 1.9 and 1.27 is attached.

8. Fee payment being made at this time

☐ Not Enclosed

☐ No filing fee is to be paid at this time. (This and the surcharge required by 37 C.F.R. 1.16(e) can be paid subsequently.)

☒ Enclosed

☒ basic filing fee \$ 790.00
☐ recording assignment.
 (\$40.00; 37 C.F.R. 1.21(h)(1)) \$ _____
☐ petition fee for filing by other than
 all the inventors or person on behalf
 of the inventor where inventor refused
 to sign or cannot be reached.
 (\$130.00; 37 C.F.R. 1.47 and 1.17(h).) \$ _____
☐ for processing an application with a
 specification in a non-English language.
 (\$130.00; 37 C.F.R. 1.52(d) and 1.17(k).) \$ _____
☐ processing and retention fee
 (\$130.00; 37 C.F.R. 1.53(d) and 1.21(l).) \$ _____

Total Fees Enclosed \$ 790.00

9. Method of payment fees

☒ check in the amount of \$ 790.00.

☐ Charge Account No. 13-0235 in the amount of \$ _____.

☐ A duplicate of this transmittal letter is attached.

10. Authorization to Charge Additional Fees

☒ The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 13-0235.

☒ 37 C.F.R. 1.16 (filing fees)
☒ 37 C.F.R. 1.16 (presentation of extra claims)
☒ 37 C.F.R. 1.17 (application processing fees)

11. Instruction as to Overpayment

☒ credit Account No. 13-0235

☐ refund

Respectfully submitted,

Date September 29, 1998

Daniel G. Mackas
 Daniel G. Mackas
 Registration No. 38,541

Enclosures

New App. pp. 2 - Rev. 10/92